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JC986 U.S. PTO
05/03/01

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09/849731
05/03/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hideki Itaya, Jason Dominik Hool, Javier Urena, Fredrick Spears, Osamu Ohno and Kantaro Maruoka

SERIAL NUMBER: **To be determined**

Express Mail No: **EF057798928US**

FILED: **To be determined**

FOR: A DIAGNOSTIC PETTE ASSEMBLY INCLUDING AUTOMATED ASPIRATION APPARATUS

PATENT

ART UNIT NO.: **To be determined**

EXAMINER: **To be determined**

ATTORNEY DOCKET NO.:
HITA1786

San Jose, California
May 3, 2001

I hereby certify that this New Application Transmittal and those documents referred to as attached hereto are being deposited with the United States Postal Service on this date May 3 2001 in an Express Mail envelope, "Post Office to Addressee," Express Mailing Label Number EF057798928US, addressed to the Box Patent Application, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

MARSHA N. GRIFFIN

Typed or printed name of person mailing paper or fee

Marsha N. Griffin
Signature of person mailing paper

NEW APPLICATION TRANSMITTAL

Hon. Commissioner of Patents
and Trademarks
Box Patent Application
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventors: **Hideki Itaya, Jason Dominik Hool, Javier Urena, Fredrick Spears, Osamu Ohno and Kantaro Maruoka**

For: **A DIAGNOSTIC PETTE ASSEMBLY INCLUDING AUTOMATED ASPIRATION APPARATUS**

1. Type of Application

This new application is for a(n) (check one applicable item below):

May 3, 2001

XX Original
___ Design
___ Plant

2. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

27 Pages of specification
5 Pages of Claims
1 Page of Abstracts
6 Sheets of drawing
___ formal
x informal

3. **Additional papers enclosed**

___ Preliminary Amendment
___ Information Disclosure Statement (37 CFR 1.98)
___ Form PTO-1449
___ Citations
___ Declaration of Biological Deposit
___ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
___ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
___ Special Comments
___ Other

4. **Declaration or oath**

XX Enclosed
executed by (check **all** applicable boxes)
XX Inventor
___ legal representative of inventor(s). 37 CFR 1.42 or 1.43
___ joint inventor or person showing a proprietary interest on behalf of Inventor who refused to sign or cannot be reached.
___ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See *item 13 below for fee.*

May 3, 2001

- ☐ Not enclosed.
- ☐ Application is made by a person authorized under 37 CFR 1.41(c)
on behalf on *all* the above named inventors
- ☐ Showing that the filing is authorized 37 CFR 1.41(d)

5. **Language**

- ☒ English
- ☐ non-English
- ☐ the attached translation is a verified translation, 37 CFR 1.52(d)

6. **Assignment**

- ☒ An assignment of the invention to
- ☒ is attached. A separate "COVER SHEET FOR ASSIGNMENT
(DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION"
is also attached.
- ☐ will follow.

7. **Fee Calculation (37 CFR 1.16)**

- A. ☒ Regular Application

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total			
Claims (37 CFR 1.16(c))	20 - 20 = 0 x	\$18.00	\$ 00.00
Independent			
Claims (37 CFR 1.16(b))	3 - 3 = 0 x	\$80.00	\$00.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))		\$250.00	
<input type="checkbox"/> Amendment cancelling extra claims enclosed.			
<input type="checkbox"/> Amendment deleting multiple-dependencies enclosed.			
<input type="checkbox"/> Fee for extra claims is not being paid at this time.			
Filing Fee Calculation			\$ 710.00
B. <input type="checkbox"/> Design Application (\$290.00-37 CFR 1.16(f))			
Filing Fee Calculation			\$ _____

NEW PATENT TRANSMITTAL
ATTORNEY DOCKET NO.: HITA1786

May 3, 2001

C. ☐ Plant Application
(\$460.00-37 CFR 1.16(g))
Filing Fee Calculation \$ _____

8. **Small Entity Statement(s)**

☒ This is a small entity under 37 CFR 1.9 and 1.27.
Filing Fee Calculation (50% of A, B or C above) \$355.00

9. **Fee Payment Being Made At This Time**

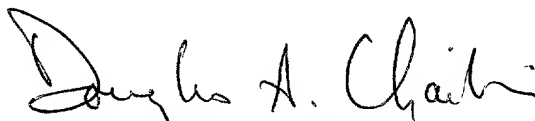
☐ Not Enclosed
☐ No filing fee is to be paid at this time. (This and the surcharge required
by 37 CFR 1.16(e) can be paid subsequently.)
☒ Enclosed in the form of a check
☒ basic filing fee \$ 355.00
☐ recording assignment
(\$40.00; 37 CFR 1.21(h)) \$ 40.00
☐ petition fee for filing by other than all
inventors or person on behalf of the
inventor where inventor refused to sign
or cannot be reached. (\$130.00;
37 CFR 1.47 and 1.17(h)) \$ _____
☐ for processing an application with a
specification in a non-English language.
(\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$ _____
☐ processing and retention fee
(\$130.00; 37 CFR 1.53(d) and 1.21(l)) \$ _____
☐ fee for international-type search report
(\$40.00; 37 CFR 1.21(e)) \$ _____
Total Fees Enclosed \$ 395.00

May 3, 2001

10. **Instructions As To Overpayment**

x refund overpayment via first class mail to the undersigned.

Peninsula IP Group



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